

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 19A 3812 PCT	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NUMBER (unknown, see 35 C.F.R. 1.51)	
				10/564646	
INTERNATIONAL APPLICATION NO. PCT/JP2003/09002		INTERNATIONAL FILING DATE 15 July 2003		PRIORITY DATE CLAIMED --	
TITLE OF INVENTION		INDIVIDUAL AUTHENTICATION METHOD USING A RECORDING MEDIUM AND INDIVIDUAL AUTHENTICATION SYSTEM USING A RECORDING MEDIUM			
APPLICANT(S) FOR DO/EO/US		HITOSHI KOKUMAI and MAKOTO MIHARA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
Items 11 to 19 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>a. Copy of Form PCT/RO/101</li> <li>b. Copy of Form PCT/IPEA/409 (International Preliminary Examination Report)</li> <li>c. Five (5) sheets of drawings</li> </ol> </li> </ol>					

13 JAN 2006

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/564646</div>	INTERNATIONAL APPLICATION NUMBER <div style="text-align: center; margin-top: 5px;">PCT/JP2003/09002</div>	ATTORNEY'S DOCKET NUMBER <div style="text-align: center; margin-top: 5px;">19A 3812 PCT</div>				
20. <input checked="" type="checkbox"/> The following fees are submitted:  <div style="font-family: monospace;">           Basic national fee (37 CFR 1.492(a)).....\$300            Examination fee (37 CFR 1.492 (c))              If the written opinion prepared by ISA/US or the international preliminary examination              report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-              (4).....\$ 0              All other situations.....\$200            Search Fee (37 CFR 1.492(b))              If the written opinion of the ISA/US or the international preliminary examination report              prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0              Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO              as an International Searching Authority.....\$100              International Search Report prepared by an ISA other than the US and provided to the Office              or previously communicated to the US by the IB.....\$400              All other situations.....\$500         </div>		<div style="border: 1px solid black; padding: 5px;"> <b>CALCULATIONS PTO USE ONLY</b>   <div style="border: 1px solid black; height: 150px; margin-top: 5px;"></div> </div>				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<div style="border: 1px solid black; padding: 2px;">\$ 900</div>				
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		<div style="border: 1px solid black; padding: 2px;">\$</div>				
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE		
Total claims	20[45]	20	25	\$ 50	\$1,250	
Independent claims	5	3	2	\$ 200	\$ 400	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$360	\$ 360	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$2,910	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$1,455	
<b>SUBTOTAL =</b>					\$1,455	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					+	
<b>TOTAL NATIONAL FEE =</b>					\$1,455	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property					+	\$ 0
<b>TOTAL FEES ENCLOSED =</b>					\$1,455	
\$					<b>Amt. Refunded</b>	\$
					<b>Amt. charged</b>	
a. <input checked="" type="checkbox"/> Check in the amount of <u>\$1,455</u> to cover the above fees are enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.						
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must          be filed and granted to restore the application to pending status.</b>						
SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 1140 Los Angeles, CA 90067-2983 Dated: January 13, 2006						
				<div style="font-size: 1.5em; font-family: cursive; margin-bottom: 5px;"> </div> SIGNATURE H. Henry Koda REG.NO. 27,729		
Form PTO-1390 (REV 10-2003)						

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IAP20 Rec'd PET/PTO 13 JAN 2006

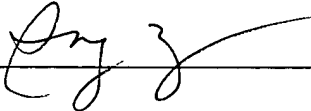
I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EV714371646US addressed to:

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

on January 13, 2006, by Inja Yi.

Dated: 1/13/06

By: 

19A 3812 PCT

OKUMURA

Applicant(s):

HITOSHI KOKUMAI and MAKOTO MIHARA

For:

INDIVIDUAL AUTHENTICATION METHOD USING A RECORDING  
MEDIUM AND INDIVIDUAL AUTHENTICATION SYSTEM USING  
A RECORDING MEDIUM